

NPC

# COVER SHEET

A S 0 9 1 1 9 0 3 8 5

SEC Registration Number

F I L I P I N O F U N D , I N C .

(Company's Full Name)

U n i t 1 0 0 9 - 1 0 1 1 , T o w e r O n e & E x c h a n g e P l a z a , A y a l a T r i a n g l e , A y a l a A v e n u e , M a k a t i C i t y

(Business Address: No. Street City/Town/Province)

Natividad P. Chua

(Contact Person)

856-5801 to 03

(Company Telephone Number)

1 2

Month Day (Fiscal Year)

3 1

GENERAL INFORMATION SHEET

(Form Type)

Month Day (Annual Meeting)

(Secondary License Type, If Applicable)

CFD

Dept. Requiring this Doc.

Amended Articles Number/Section

Total No. of Stockholders

Domestic

Foreign

To be accomplished by SEC Personnel concerned

File Number

LCU

Document ID

Cashier

STAMPS

Remarks: Please use BLACK ink for scanning purposes.



FILIPINO FUND, INC.

June 08, 2017

DISCLOSURE DEPARTMENT  
Philippine Stock Exchange  
3<sup>rd</sup> Floor, Philippine Stock Exchange Plaza  
Ayala Triangle, Ayala Avenue  
Makati City


Gentlemen:

We are pleased to furnish to Exchange a copy of the General Information Sheet of FFI for the Year 2017 received by the Securities and Exchange Commission.

Thank you.

Very truly yours,

VICSAL INVESTMENT, INC.  
As Fund Manager of Filipino Fund, Inc.

By:   
NATIVIDAD P. CHUA  
*Corporate Information Officer*

**GENERAL INFORMATION SHEET**  
FOR THE YEAR **2017**  
**STOCK CORPORATION**



**GENERAL INSTRUCTION:**

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE ACTUAL DATE OF THE ANNUAL STOCKHOLDERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT ONLY THE AFFECTED PAGE OF THE GIS THAT RELATES TO THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED BY THE CORPORATE SECRETARY OF THE CORPORATION. THE PAGE OF THE GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURRED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE CENTRAL RECEIVING SECTION, GROUND FLOOR, SEC BLDG., EDSA, MANDALUYONG CITY. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER WITH A STANDARD COVER PAGE. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE. CORPORATIONS SUBMITTING A COPY OF THEIR GIS ONLINE OR VIA INTERNET SHALL SUBMIT ONE (1) HARD COPY OF THE GIS, TOGETHER WITH A CERTIFICATION UNDER OATH BY ITS CORPORATE SECRETARY THAT THE COPY SUBMITTED ONLINE CONTAINS THE EXACT DATA IN THE HARD COPY.
6. **ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS.

=====PLEASE PRINT LEGIBLY=====

CORPORATE NAME: <b>FILIPINO FUND, INC.</b>		DATE REGISTERED: <b>28 March 1995</b>
BUSINESS/TRADE NAME: <b>FILIPINO FUND, INC.</b>		FISCAL YEAR END: <b>31 December</b>
SEC REGISTRATION NUMBER: <b>AS091190385</b>		CORPORATE TAX IDENTIFICATION NUMBER (TIN) <b>002-284-545-000</b>
DATE OF ANNUAL MEETING PER BY-LAWS: <b>Every 2<sup>nd</sup> Tuesday of May</b>		WEBSITE/URL ADDRESS: <b>www.filipinofund.com.ph</b>
ACTUAL DATE OF ANNUAL MEETING: <b>09 May 2017</b>		E-MAIL ADDRESS: <b>info@filipinofund.com.ph</b>
COMPLETE PRINCIPAL OFFICE ADDRESS: <b>Unit 1009-1011, Tower One and Exchange Plaza, Ayala Triangle, Ayala Avenue, Makati City</b>		FAX NUMBER: <b>856-3922</b>
COMPLETE BUSINESS ADDRESS: <b>Unit 1009-1011, Tower One and Exchange Plaza, Ayala Triangle, Ayala Avenue, Makati City</b>		TELEPHONE NUMBER(S): <b>856-5801</b>
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: <b>SGV &amp; CO. JANET A. PARAISO, Partner</b>	SEC ACCREDITATION NUMBER (if applicable) <b>0778-AR-2</b>	GEOGRAPHICAL CODE:
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGE IN: <b>Closed-end Investment Company</b>	INDUSTRY CLASSIFICATION: <b>Listed Company</b>	

=====INTERCOMPANY AFFILIATIONS=====

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
N/A	N/A	N/A
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS
N/A	N/A	N/A

NOTE : USE ADDITIONAL SHEET IF NECESSARY.

# GENERAL INFORMATION SHEET (STOCK CORPORATION)

=====PLEASE PRINT LEGIBLY=====

CORPORATE NAME:

**FILIPINO FUND, INC.**

A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)

Yes  No

Please check the appropriate box:

<p>1.</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> a. Banks</li> <li><input type="checkbox"/> b. Offshore Banking Units</li> <li><input type="checkbox"/> c. Quasi-Banks</li> <li><input type="checkbox"/> d. Trust Entities</li> <li><input type="checkbox"/> e. Non-Stock Savings and Loan Associations</li> <li><input type="checkbox"/> f. Pawnshops</li> <li><input type="checkbox"/> g. Foreign Exchange Dealers</li> <li><input type="checkbox"/> h. Money Changers</li> <li><input type="checkbox"/> i. Remittance Agents</li> <li><input type="checkbox"/> j. Electronic Money Issuers</li> <li><input type="checkbox"/> k. Financial Institutions which Under Special Laws Are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.</li> </ul>	<p>4. <input type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals.</p>
<p>2.</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> a. Insurance Companies</li> <li><input type="checkbox"/> b. Insurance Agents</li> <li><input type="checkbox"/> c. Insurance Brokers</li> <li><input type="checkbox"/> d. Professional Reinsurers</li> <li><input type="checkbox"/> e. Reinsurance Brokers</li> <li><input type="checkbox"/> f. Holding Companies</li> <li><input type="checkbox"/> g. Holding Company Systems</li> <li><input type="checkbox"/> h. Pre-need Companies</li> <li><input type="checkbox"/> i. Mutual Benefit Association</li> <li><input type="checkbox"/> j. All Other Persons and entities supervised and/or regulate by the Insurance Commission (IC)</li> </ul>	<p>5. <input type="checkbox"/> Jewelry dealers in precious stones, who, as a business, trade in precious stone</p> <p>6. Company service providers which, as a business, provide any of the following services to third parties:</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> a. acting as a formation agent of juridical persons</li> <li><input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons</li> <li><input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement</li> <li><input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person</li> </ul>
<p>3.</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> a. Securities Dealers</li> <li><input type="checkbox"/> b. Securities Brokers</li> <li><input type="checkbox"/> c. Securities Salesman</li> <li><input type="checkbox"/> d. Investment Houses</li> <li><input type="checkbox"/> e. Investment Agents and Consultants</li> <li><input type="checkbox"/> f. Trading Advisors</li> <li><input type="checkbox"/> g. Other entities managing Securities or rendering similar services</li> <li><input type="checkbox"/> h. Mutual Funds or Open-end Investment Companies</li> <li><input checked="" type="checkbox"/> i. Close-end Investment Companies</li> <li><input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities</li> <li><input type="checkbox"/> k. Transfer Companies and other similar entities</li> <li><input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on</li> <li><input type="checkbox"/> m. Entities administering of otherwise dealing in valuable objects</li> <li><input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)</li> </ul>	<p>7. Persons who provide any of the following services:</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> a. managing of client money, securities or other assets</li> <li><input type="checkbox"/> b. management of bank, savings or securities accounts</li> <li><input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies</li> <li><input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities</li> </ul> <p>8. <input type="checkbox"/> None of the above</p> <p style="text-align: center;">Describe nature of business: <b>Close-end Investment Company</b></p>

B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?

Yes  No



## GENERAL INFORMATION SHEET

### STOCK CORPORATION

=====PLEASE PRINT LEGIBLY=====

CORPORATE NAME:

**FILIPINO FUND, INC.**  
CAPITAL STRUCTURE

**AUTHORIZED CAPITAL STOCK**

	TYPE OF SHARES*	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares x Par/Stated Value)
	<b>Common</b>	<b>184,234,038</b>	<b>P1.00</b>	<b>PhP184,234,038.00</b>
TOTAL		<b>184,234,038</b>	TOTAL P	<b>PhP184,234,038.00</b>

**SUBSCRIBED CAPITAL**

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES*	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC**	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNER-SHIP
	<b>5,456</b>	<b>Common</b>	<b>49,834,795</b>		<b>P1.00</b>	<b>49,834,795.00</b>	<b>99.3370%</b>
TOTAL			<b>49,834,795</b>	TOTAL P		<b>49,834,795.00</b>	<b>99.3370%</b>

FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES*	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC**	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNER-SHIP
<b>CHINESE</b>	<b>38</b>	<b>Common</b>	<b>52,090</b>		<b>P1.00</b>	<b>52,090.00</b>	<b>0.1038%</b>
<b>AMERICAN</b>	<b>13</b>	<b>Common</b>	<b>13,250</b>		<b>P1.00</b>	<b>13,250.00</b>	<b>0.0264%</b>
<b>HONDURAN</b>	<b>1</b>	<b>Common</b>	<b>3,155</b>		<b>P1.00</b>	<b>3,155.00</b>	<b>0.0063%</b>
<b>BRITISH</b>	<b>2</b>	<b>Common</b>	<b>1,577</b>		<b>P1.00</b>	<b>1,577.00</b>	<b>0.0031%</b>
<b>JAPANESE</b>	<b>1</b>	<b>Common</b>	<b>946</b>		<b>P1.00</b>	<b>946.00</b>	<b>0.0019%</b>
<b>SPANISH</b>	<b>1</b>	<b>Common</b>	<b>757</b>		<b>P1.00</b>	<b>757.00</b>	<b>0.0015%</b>
<b>INDIAN</b>	<b>1</b>	<b>Common</b>	<b>631</b>		<b>P1.00</b>	<b>631.00</b>	<b>0.0013%</b>
<b>AUSTRALIAN</b>	<b>1</b>	<b>Common</b>	<b>420</b>		<b>P1.00</b>	<b>420.00</b>	<b>0.0008%</b>
<b>BRUNEI</b>	<b>1</b>	<b>Common</b>	<b>252</b>		<b>P1.00</b>	<b>252.00</b>	<b>0.0005%</b>
<b>OTHERS</b>	<b>1</b>	<b>Common</b>	<b>259,524</b>		<b>P1.00</b>	<b>259,524.00</b>	<b>0.5173%</b>
Percentage of Foreign Equity: TOTAL			<b>332,602</b>	TOTAL P		<b>332,602.00</b>	<b>0.6629%</b>
TOTAL SUBSCRIBED P						<b>50,167,397.00</b>	<b>100.00%</b>

**PAID-UP CAPITAL**

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES*	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNER-SHIP
	<b>5,456</b>	<b>Common</b>	<b>49,834,795</b>	<b>P1.00</b>	<b>49,834,795.00</b>	<b>99.3370%</b>
TOTAL				TOTAL P		

FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES*	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNER-SHIP	
<b>CHINESE</b>	<b>38</b>	<b>Common</b>	<b>52,090</b>	<b>P1.00</b>	<b>52,090.00</b>	<b>0.1038%</b>	
<b>AMERICAN</b>	<b>13</b>	<b>Common</b>	<b>13,250</b>	<b>P1.00</b>	<b>13,250.00</b>	<b>0.0264%</b>	
<b>HONDURAN</b>	<b>1</b>	<b>Common</b>	<b>3,155</b>	<b>P1.00</b>	<b>3,155.00</b>	<b>0.0063%</b>	
<b>BRITISH</b>	<b>2</b>	<b>Common</b>	<b>1,577</b>	<b>P1.00</b>	<b>1,577.00</b>	<b>0.0031%</b>	
<b>JAPANESE</b>	<b>1</b>	<b>Common</b>	<b>946</b>	<b>P1.00</b>	<b>946.00</b>	<b>0.0019%</b>	
<b>SPANISH</b>	<b>1</b>	<b>Common</b>	<b>757</b>	<b>P1.00</b>	<b>757.00</b>	<b>0.0015%</b>	
<b>INDIAN</b>	<b>1</b>	<b>Common</b>	<b>631</b>	<b>P1.00</b>	<b>631.00</b>	<b>0.0013%</b>	
<b>AUSTRALIAN</b>	<b>1</b>	<b>Common</b>	<b>420</b>	<b>P1.00</b>	<b>420.00</b>	<b>0.0008%</b>	
<b>BRUNEI</b>	<b>1</b>	<b>Common</b>	<b>252</b>	<b>P1.00</b>	<b>252.00</b>	<b>0.0005%</b>	
<b>OTHERS</b>	<b>1</b>	<b>Common</b>	<b>259,524</b>	<b>P1.00</b>	<b>259,524.00</b>	<b>0.5173%</b>	
TOTAL			<b>332,602</b>	TOTAL P		<b>332,602.00</b>	<b>0.6629%</b>
TOTAL PAID-UP P						<b>50,167,397.00</b>	<b>100.00%</b>

NOTE: USE ADDITIONAL SHEET IF NECESSARY

\* Common, Preferred or other classification

\*\* Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

## GENERAL INFORMATION SHEET (STOCK CORPORATION)

=====PLEASE PRINT LEGIBLY=====

CORPORATE NAME:

**FILIPINO FUND, INC.**

DIRECTORS/OFFICERS

NAME AND NATIONALITY	INC'R	BOARD	SEX	STOCK HLDR.	OFFICER	EXEC. COMM.
1. <b>MARGARET G. ANG</b> Filipino	N	M	F	Y	President	N/ Member
2. <b>FRANK S. GAISANO</b> Filipino	N	M	M	Y	N/A	N/ Chairman
3. <b>JOHN G. TAN</b> Filipino	N	M	M	Y	Independent Director	N/A
4. <b>EDWARD S. GO</b> Filipino	N	M	M	Y	Independent Director	A/ Chairman N/ Member
5. <b>BERNARDO M. VILLEGAS</b> Filipino	N	C	M	Y	Independent Director	A/ Member
6. <b>ALJIM C. JAMANDRE</b> Filipino	N	M	M	Y	N/A	A/ Member
7. <b>VINCENT E. TOMANENG</b> Filipino	N	M	M	Y	Director/ Corporate Secretary	N/A
8. <b>FRANCHETTE M. ACOSTA</b> Filipino	N	N	F	N	Assistant Corporate Secretary	N/A
9. <b>MARY JENNIFER V. MUSNI</b> Filipino	N	N	F	N	Treasurer	N/A

**INSTRUCTIONS:**

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.  
 FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR  
 FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.  
 FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.  
 FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY,  
 COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.  
 FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND  
 ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

## GENERAL INFORMATION SHEET STOCK CORPORATION

=====PLEASE PRINT LEGIBLY=====

CORPORATE NAME: <b>FILIPINO FUND, INC.</b>	
TOTAL NUMBER OF STOCKHOLDERS: <b>5,516</b>	NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: <b>5,493</b>
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: <b>PhP218,062,505.00</b>	

### STOCKHOLDER'S INFORMATION

NAME AND NATIONALITY	SHARES SUBSCRIBED			% OF OWNER-SHIP	AMOUNT PAID (PhP)
	TYPE	NUMBER	AMOUNT (PhP)		
<b>1. PCD NOMINEE CORPORATION</b> <b>Filipino</b>  (Note: Beneficial owner of more than 10%; Viscal Development Corp. – 35,623,404 shares representing 71.01%)	A	43,798,048	43,798,048.00	87.3038%	43,798,048.00
	TOTAL	43,798,048	43,798,048.00		
<b>2. PCD NOMINEE CORPORATION</b> <b>Non-Filipino</b>	A	259,524	259,524.00	0.5173%	259,524.00
	TOTAL	259,524	259,524.00		
<b>3. ALLEN C. ROXAS</b> <b>Filipino</b>	A	94,667	94,667.00	0.1887%	94,667.00
	TOTAL	94,667	94,667.00		
<b>4. ALBERT G. ONG</b> <b>Filipino</b>	A	90,250	90,250.00	0.1798%	90,250.00
	TOTAL	90,250	90,250.00		
<b>5. REYMONT INC.</b> <b>Filipino</b>	A	34,711	34,711.00	0.0691%	34,711.00
	TOTAL	34,711	34,711.00		
<b>6. SEGUNDO SEANGIO AND/OR VIRGINIA SEANGIO</b> <b>Filipino</b>	A	32,818	32,818.00	0.0654%	32,818.00
	TOTAL	32,818	32,818.00		
<b>7. KA SIONG TIU</b> <b>Filipino</b>	A	31,555	31,555.00	0.0628%	31,555.00
	TOTAL	31,555	31,555.00		
<b>8. VICTOR, HERNANDEZ BOCALING</b> <b>Filipino</b>	A	31,555	31,555.00	0.0628%	31,555.00
	TOTAL	31,555	31,555.00		
<b>9. LETICIA C. SY</b> <b>Filipino</b>	A	27,011	27,011.00	0.0538%	27,011.00
	TOTAL	27,011	27,011.00		
TOTAL AMOUNT OF SUBSCRIBED CAPITAL			<b>44,400,139.00</b>		
			TOTAL AMOUNT OF PAID-UP CAPITAL		

INSTRUCTIONS: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS.

*Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet if necessary.*

## GENERAL INFORMATION SHEET

### STOCK CORPORATION

=====PLEASE PRINT LEGIBLY=====

CORPORATE NAME: <b>FILIPINO FUND, INC.</b>	
TOTAL NUMBER OF STOCKHOLDERS: <b>5,516</b>	NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: <b>5,493</b>
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: <b>PhP218,062,505.00</b>	

#### STOCKHOLDER'S INFORMATION

NAME AND NATIONALITY	SHARES SUBSCRIBED			% OF OWNER-SHIP	AMOUNT PAID (PhP)
	TYPE	NUMBER	AMOUNT (PhP)		
<b>10. EMMANUEL HERNANDEZ BOCALING</b> Filipino	A	25,244	25,244.00	0.0503%	25,244.00
	TOTAL	25,244	25,244.00		
<b>11. INTERNATIONAL POLYMER CORP.</b> Filipino	A	22,089	22,089.00	0.0440%	22,089.00
	TOTAL	22,089	22,089.00		
<b>12. ANTONIO SUNTAY TANJANCO</b> Filipino	A	20,826	20,826.00	0.0415%	20,826.00
	TOTAL	20,826	20,826.00		
<b>13. WILLINGTON W. CHUA</b> Filipino	A	20,524	20,524.00	0.0409%	20,524.00
	TOTAL	20,524	20,524.00		
<b>14. NORBERTO VALDIVIA SAUCO</b> Filipino	A	20,195	20,195.00	0.0402%	20,195.00
	TOTAL	20,195	20,195.00		
<b>15. ALEXANDER JO TANCHAN</b> Filipino	A	19,879	19,879.00	0.0396%	19,879.00
	TOTAL	19,879	19,879.00		
<b>16. PATRICK YAP TONG</b> Filipino	A	18,933	18,933.00	0.0377%	18,933.00
	TOTAL	18,933	18,933.00		
<b>17. MA. GEORGINA VERA PEREZ</b> Filipino	A	18,933	18,933.00	0.0377%	18,933.00
	Total	18,933	18,933.00		
<b>18. JAN CED</b> Filipino	A	18,933	18,933.00	0.0377%	18,933.00
	Total	18,933	18,933.00		
<b>19. MELY NGO LIM</b> Filipino	A	18,933	18,933.00	0.0377%	18,933.00
	Total	18,933	18,933.00		
<b>OTHERS</b> (Indicate the number of the remaining shareholders)	A	5,562,769	5,562,769.00	11.088%	5,562,769.00
	TOTAL	5,562,789	5,562,769.00		
TOTAL AMOUNT OF SUBSCRIBED CAPITAL			5,767,258.00		
				TOTAL AMOUNT OF PAID-UP CAPITAL	50,167,397.00

INSTRUCTIONS: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS.

*Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet if necessary.*



## GENERAL INFORMATION SHEET

### STOCK CORPORATION

=====PLEASE PRINT LEGIBLY=====

CORPORATE NAME:

**FILIPINO FUND, INC.**

1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION	AMOUNT (PhP)	DATE OF BOARD RESOLUTION
1.1 STOCKS	131,414,013	
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)	-	
1.3 LOANS/CREDITS/ADVANCES	-	
1.4 GOVERNMENT TREASURY BILLS	-	
1.5 OTHERS	81,400,671	

2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY):	DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS' RATIFICATION
N/A	N/A	N/A

3. TREASURY SHARES	NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED
	55,105,000	29.91%

4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR: **PhP65,943,416.00**

5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR : **NONE**

TYPE OF DIVIDEND	AMOUNT (PhP)	DATE DECLARED
5.1 CASH	-	
5.2 STOCK	-	
5.3 PROPERTY	-	
TOTAL P		

6. ADDITIONAL SHARES ISSUED DURING THE PERIOD: **NONE**

DATE	NO. OF SHARES	AMOUNT
N/A	N/A	N/A

SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:

NAME OF AGENCY:	SEC	BSP	IC
TYPE OF LICENSE/REGN.	N/A	N/A	N/A
DATE ISSUED:	N/A	N/A	N/A
DATE STARTED OPERATIONS:	N/A	N/A	N/A

TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)	TOTAL NO. OF OFFICERS	TOTAL NO. OF RANK & FILE EMPLOYEES	TOTAL MANPOWER COMPLEMENT
<b>441,176.00</b>	4	N/A	4

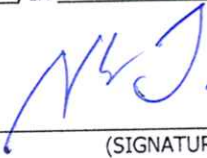
NOTE: USE ADDITIONAL SHEET IF NECESSARY

I, **VINCENT E. TOMANENG**, CORPORATE SECRETARY OF THE ABOVE-MENTIONED CORPORATION, DECLARE UNDER THE PENALTY OF PERJURY, THAT ALL MATTERS SET FORTH IN THIS GENERAL INFORMATION SHEET, WHICH CONSISTS OF TEN (10) PAGES, HAVE BEEN MADE IN GOOD FAITH, DULY VERIFIED BY ME, AND, TO THE BEST OF MY KNOWLEDGE AND BELIEF, ARE TRUE AND CORRECT.

**I HEREBY CERTIFY THAT THE PERSONS INDICATED AS MEMBERS OF THE BOARD OF DIRECTORS AND OFFICERS OF THE CORPORATION HAD CONSENTED IN WRITING TO BE NOMINATED AND ELECTED.**

I UNDERSTAND THAT THE FAILURE OF THE CORPORATION TO FILE THIS GIS FOR FIVE (5) CONSECUTIVE YEARS SHALL BE CONSTRUED AS NON-OPERATION OF THE CORPORATION AND A GROUND FOR THE REVOCATION OF THE CORPORATION'S CERTIFICATE OF INCORPORATION. IN THIS EVENTUALITY, THE CORPORATION HEREBY WAIVES ITS RIGHT TO A HEARING FOR THE SAID REVOCATION.

DONE THIS JUN 06 2017 DAY OF \_\_\_\_\_, 20\_\_\_\_\_, IN Cebu City.

  
\_\_\_\_\_  
(SIGNATURE)

**SUBSCRIBED AND SWORN TO** BEFORE ME THIS JUN 06 2017 DAY OF \_\_\_\_\_ IN Cebu City METRO MANILA. AFFIANT EXHIBITING AND PRESENTING TO ME COMPETENT EVIDENCE OF HIS IDENTITY, DRIVER'S LICENSE NO. KD1-84-007937 BEARING HIS PHOTOGRAPH AND SIGNATURE, ISSUED BY THE LAND TRANSPORTATION OFFICE.

DOC. NO. 25 ;  
PAGE NO. 05 ;  
BOOK NO. xxx ;  
SERIES OF 2017.

  
**AILEEN P. LANZA GARROTE**  
Notarial Commission No. 008-12  
Notary Public City of Cebu  
Until December 23, 2017  
Roll of Attorneys No. 46647  
PTR No. 13002497 / Cebu Province / January 5, 2017  
DT O.R. No. 1063006 / Cebu Province / January 5, 2017  
NICLE Compliance No. V-0015079 / March 8, 2015  
Rm. 103 Aricote Bldg. Alazardine  
Osmeña Blvd., Capital Site, Cebu City

