

Stock Transfer Service Inc.  
 FILIPINO FUND, INC.  
 List of Top 100 Stockholders  
 As of 09/30/2021

| Rank | Name                                    | Holdings   | Rank   |
|------|-----------------------------------------|------------|--------|
| 1    | FILIPINO FUND, INC. TREASURY SHARES     | 55,105,000 | 52.35% |
| 2    | PCD NOMINEE CORPORATION (FILIPINO)      | 44,104,070 | 41.90% |
| 3    | PCD NOMINEE CORPORATION (NON-FILIPINO)  | 227,396    | 00.22% |
| 4    | ALLEN C. ROXAS                          | 94,667     | 00.09% |
| 5    | ALBERT GO ONG                           | 90,250     | 00.09% |
| 6    | SEGUNDO SEANGIO AND/OR VIRGINIA SEANGIO | 32,818     | 00.03% |
| 7    | KA SIONG TIU                            | 31,555     | 00.03% |
| 8    | VICTOR HERNANDEZ BOCALING               | 31,555     | 00.03% |
| 9    | ROMEO TAN PE                            | 25,244     | 00.02% |
| 10   | EMMANUEL HERNANDEZ BOCALING             | 25,244     | 00.02% |
| 11   | INTERNATIONAL POLYMER CORP.             | 22,089     | 00.02% |
| 12   | ANTONIO SUNTAY TANJANGCO                | 20,826     | 00.02% |
| 13   | WILLINGTON W. CHUA                      | 20,524     | 00.02% |
| 14   | NORBERTO VALDIVIA SAUCO                 | 20,195     | 00.02% |
| 15   | ALEXANDER JO TANCHAN                    | 19,879     | 00.02% |
| 16   | JAN CED                                 | 18,933     | 00.02% |
| 17   | PATRICK YAP TONG                        | 18,933     | 00.02% |
| 18   | MA. GEORGINA VERA PEREZ                 | 18,933     | 00.02% |
| 19   | MELY NGO LIM                            | 18,933     | 00.02% |
| 20   | MARCIANO S. BACALLA JR                  | 16,409     | 00.02% |
| 21   | ARTHUR CANG UY                          | 15,777     | 00.01% |
| 22   | ARTURO TAO UY                           | 15,777     | 00.01% |
| 23   | FAST CARGO TRANSPORT CORP.              | 15,000     | 00.01% |
| 24   | AURORA LEE ONG                          | 13,253     | 00.01% |
| 25   | R & L INVESTMENTS, INC.                 | 13,253     | 00.01% |
| 26   | ROBERTO SY CO SAN                       | 12,622     | 00.01% |

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| 27   | SOUTHEAST ASIAN SCIENCE FOUNDATION / CRC | 12,622   | 00.01% |
| 28   | MA. GEORGINA PEREZ DE VENECIA            | 12,622   | 00.01% |
| 29   | KOK SEE KIM YU                           | 12,622   | 00.01% |
| 30   | BENITO S. QUE                            | 12,622   | 00.01% |
| 31   | BPI T/A#14-0166-35                       | 12,622   | 00.01% |
| 32   | FCX INTERNATIONAL                        | 12,622   | 00.01% |
| 33   | FCX INTERNATIONAL, INC.                  | 12,622   | 00.01% |
| 34   | FELIPE L. GOZON AND/OR TERESA M. GOZON   | 12,622   | 00.01% |
| 35   | GINA GO LIM                              | 12,622   | 00.01% |
| 36   | VIBAL PUBLISHING HOUSE INC.              | 12,622   | 00.01% |
| 37   | VICTORIO LAO ONG                         | 12,622   | 00.01% |
| 38   | RAUL ABIGANIA ALON                       | 11,991   | 00.01% |
| 39   | JAIME AUGUSTO MIRANDA ZOBEL DE AYALA     | 11,675   | 00.01% |
| 40   | RAYMOND UYTENGUS KOKSENG                 | 11,589   | 00.01% |
| 41   | JOSE TAN CHIONG                          | 11,360   | 00.01% |
| 42   | GEORGE L. YUQUICO                        | 11,360   | 00.01% |
| 43   | EBC SECURITIES CORPORATION               | 11,360   | 00.01% |
| 44   | SSS                                      | 10,033   | 00.01% |
| 45   | TEODORO G. SALUD                         | 9,466    | 00.01% |
| 46   | TUANHIN TAN CHIONG                       | 9,466    | 00.01% |
| 47   | PHILIP SO LIM                            | 9,466    | 00.01% |
| 48   | NARCISO COROZA MONTEIRO                  | 9,466    | 00.01% |
| 49   | MARIANO KU ONG                           | 9,466    | 00.01% |
| 50   | CORABELLE LIM SY                         | 9,466    | 00.01% |
| 51   | CALLANO G. PAZ                           | 9,466    | 00.01% |
| 52   | JESSIE ERMUND TAN DY                     | 9,466    | 00.01% |

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| Rank | Name                             | Holdings | Rank   |
|------|----------------------------------|----------|--------|
| 53   | WILLIAM TAN CHENG                | 9,466    | 00.01% |
| 54   | YU LING ONG                      | 9,466    | 00.01% |
| 55   | JAMES Y. DY                      | 8,835    | 00.01% |
| 56   | ARTHUR TAN SIA                   | 8,835    | 00.01% |
| 57   | ALLAN DANCEL RIVERA              | 8,835    | 00.01% |
| 58   | MARINO OLONDRIZ Y CIA            | 8,835    | 00.01% |
| 59   | ROSALINDA ZERNA                  | 8,835    | 00.01% |
| 60   | MIMI MENDOZA JORGE               | 8,204    | 00.01% |
| 61   | AMBROSIO DE LAS ALAS PADILLA JR  | 8,204    | 00.01% |
| 62   | CONNELL SECURITIES, INC.         | 6,942    | 00.01% |
| 63   | SALVADOR PINEDA PABALAN          | 6,942    | 00.01% |
| 64   | MARIA S. ANG                     | 6,942    | 00.01% |
| 65   | JOSE P. TAN                      | 6,942    | 00.01% |
| 66   | LEODEGARIA GAMIL MARCOS          | 6,942    | 00.01% |
| 67   | NARCISO C. MONTEIRO              | 6,626    | 00.01% |
| 68   | FELIPE A. ENAGE                  | 6,626    | 00.01% |
| 69   | LEONARDO STA. ANA CANSANA        | 6,437    | 00.01% |
| 70   | LIPPO SEC., INC.                 | 6,311    | 00.01% |
| 71   | LOLITA MORENO                    | 6,311    | 00.01% |
| 72   | LAFAYETTE ALVAREZ LIM            | 6,311    | 00.01% |
| 73   | JUAN T. LIM                      | 6,311    | 00.01% |
| 74   | JUANITO C. CASTANEDA JR.         | 6,311    | 00.01% |
| 75   | JOSELITO P. VALENCIA             | 6,311    | 00.01% |
| 76   | JOSEPHINE ROBREDO BUNDOC         | 6,311    | 00.01% |
| 77   | MANUEL R. BERNARDO               | 6,311    | 00.01% |
| 78   | MA. CYNTHIA SALDUA FERNANDEZ-TAN | 6,311    | 00.01% |

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|------|------------------------------------------------|----------|--------|
| 79   | MERCEDITA S. NOLLEDO                           | 6,311    | 00.01% |
| 80   | MAYO HOLDINGS, INC.                            | 6,311    | 00.01% |
| 81   | PASSI PLANTATION, INC.                         | 6,311    | 00.01% |
| 82   | NIKKI M. L. COSETENG                           | 6,311    | 00.01% |
| 83   | PETER DY YOUNG                                 | 6,311    | 00.01% |
| 84   | PETER UY ONG                                   | 6,311    | 00.01% |
| 85   | SALLY ONG C. PAC                               | 6,311    | 00.01% |
| 86   | SOLITA DIAZ TAN-GATUE                          | 6,311    | 00.01% |
| 87   | UY MARIANO NG                                  | 6,311    | 00.01% |
| 88   | THERESA SUN                                    | 6,311    | 00.01% |
| 89   | SYDNEY SHERIDAN S. TACUBOY                     | 6,311    | 00.01% |
| 90   | RODULFO B SEVILLA                              | 6,311    | 00.01% |
| 91   | ROMEO TANKIANG                                 | 6,311    | 00.01% |
| 92   | FELICISIMO PICACHE ENRIQUEZ JR.                | 6,311    | 00.01% |
| 93   | FE S.D. AQUINO AND/OR BONIFACIA E. STO DOMINGO | 6,311    | 00.01% |
| 94   | EVELYN LAO ONG                                 | 6,311    | 00.01% |
| 95   | EMMANUEL H. BOCALING                           | 6,311    | 00.01% |
| 96   | EDUARDO CAPULONG HALILI                        | 6,311    | 00.01% |
| 97   | ELI LEVIN                                      | 6,311    | 00.01% |
| 98   | IVY MICHELLE ALVAREZ LIM                       | 6,311    | 00.01% |
| 99   | IVAN SPENCER ALVAREZ LIM                       | 6,311    | 00.01% |
| 100  | JEJOMAR CABAUTAN BINAY                         | 6,311    | 00.01% |

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|------|------|----------|------|
|------|------|----------|------|

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|                              |             |        |
|------------------------------|-------------|--------|
| Total Top 100 Shareholders : | 100,672,628 | 95.62% |
|------------------------------|-------------|--------|

|                     |             |
|---------------------|-------------|
| Total Issued Shares | 105,272,397 |
|---------------------|-------------|

## OUTSTANDING BALANCES FOR A SPECIFIC COMPANY

Company Code - FFI000000000

Business Date: September 30, 2021

| BPNAME                                           | HOLDINGS   |
|--------------------------------------------------|------------|
| UPCC SECURITIES CORP.                            | 2,787      |
| A & A SECURITIES, INC.                           | 29,972     |
| ABACUS SECURITIES CORPORATION                    | 66,618     |
| PHILSTOCKS FINANCIAL INC                         | 171,044    |
| A. T. DE CASTRO SECURITIES CORP.                 | 3,486      |
| ALPHA SECURITIES CORP.                           | 3,723      |
| BA SECURITIES, INC.                              | 15,131     |
| AP SECURITIES INCORPORATED                       | 49,860     |
| ANSALDO, GODINEZ & CO., INC.                     | 29,019     |
| AB CAPITAL SECURITIES, INC.                      | 35,744,062 |
| SB EQUITIES, INC.                                | 24,402     |
| ASIA PACIFIC CAPITAL EQUITIES & SECURITIES CORP. | 2,347      |
| ASIASEC EQUITIES, INC.                           | 22,720     |
| CHINA BANK SECURITIES CORPORATION                | 8,188      |
| BELSON SECURITIES, INC.                          | 14,450     |
| BENJAMIN CO CA & CO., INC.                       | 5,590      |
| B. H. CHUA SECURITIES CORPORATION                | 8,835      |
| JAKA SECURITIES CORP.                            | 10,543     |
| BPI SECURITIES CORPORATION                       | 187,246    |
| CAMPOS, LANUZA & COMPANY, INC.                   | 14,657     |
| SINCERE SECURITIES CORPORATION                   | 22         |
| CENTURY SECURITIES CORPORATION                   | 631        |
| CTS GLOBAL EQUITY GROUP, INC.                    | 64,943     |
| TRITON SECURITIES CORP.                          | 32,327     |
| IGC SECURITIES INC.                              | 5,299      |
| CUALOPING SECURITIES CORPORATION                 | 11,465     |
| DAVID GO SECURITIES CORP.                        | 5,576      |
| DIVERSIFIED SECURITIES, INC.                     | 32,347     |
| E. CHUA CHIACO SECURITIES, INC.                  | 24,578     |
| EQUITABLE SECURITIES (PHILS.) INC.               | 6,529      |
| EAST WEST CAPITAL CORPORATION                    | 48         |
| EASTERN SECURITIES DEVELOPMENT CORPORATION       | 40,076     |
| EQUITIWORLD SECURITIES, INC.                     | 3,098      |
| EVERGREEN STOCK BROKERAGE & SEC., INC.           | 70,188     |
| FIRST ORIENT SECURITIES, INC.                    | 2,957      |
| FIRST INTEGRATED CAPITAL SECURITIES, INC.        | 105        |
| F. YAP SECURITIES, INC.                          | 5,922      |
| AURORA SECURITIES, INC.                          | 3,155      |
| GLOBALINKS SECURITIES & STOCKS, INC.             | 93,266     |
| JSG SECURITIES, INC.                             | 4,051      |
| GOLDSTAR SECURITIES, INC.                        | 13,896     |
| GUILD SECURITIES, INC.                           | 5,063      |
| HDI SECURITIES, INC.                             | 13,184     |
| H. E. BENNETT SECURITIES, INC.                   | 3,155      |
| HK SECURITIES, INC.                              | 1,518      |
| I. ACKERMAN & CO., INC.                          | 22,576     |
| I. B. GIMENEZ SECURITIES, INC.                   | 9,865      |
| INVESTORS SECURITIES, INC.                       | 7,919      |

| BPNAME                                            | HOLDINGS  |
|---------------------------------------------------|-----------|
| IMPERIAL,DE GUZMAN,ABALOS & CO.,INC.              | 4,230     |
| INTRA-INVEST SECURITIES, INC.                     | 16,598    |
| ASIAN CAPITAL EQUITIES, INC.                      | 3,281     |
| J.M. BARCELON & CO., INC.                         | 2,762     |
| STRATEGIC EQUITIES CORP.                          | 60,860    |
| LARRGO SECURITIES CO., INC.                       | 65        |
| LOPEZ, LOCSIN, LEDESMA & CO., INC.                | 4,544     |
| LUCKY SECURITIES, INC.                            | 7,405     |
| LUYS SECURITIES COMPANY, INC.                     | 5,595     |
| MANDARIN SECURITIES CORPORATION                   | 15,009    |
| COL Financial Group, Inc.                         | 199,567   |
| DA MARKET SECURITIES, INC.                        | 315       |
| MERCANTILE SECURITIES CORP.                       | 5,973     |
| MERIDIAN SECURITIES, INC.                         | 3,976     |
| MDR SECURITIES, INC.                              | 12,433    |
| REGIS PARTNERS, INC.                              | 30,630    |
| MOUNT PEAK SECURITIES, INC.                       | 13,292    |
| NEW WORLD SECURITIES CO., INC.                    | 1,558     |
| OPTIMUM SECURITIES CORPORATION                    | 6,597     |
| RCBC SECURITIES, INC.                             | 9,792     |
| PAN ASIA SECURITIES CORP.                         | 631       |
| PAPA SECURITIES CORPORATION                       | 18,668    |
| MAYBANK ATR KIM ENG SECURITIES, INC.              | 51,745    |
| PNB SECURITIES, INC.                              | 146,455   |
| PREMIUM SECURITIES, INC.                          | 10,518    |
| SALISBURY BKT SECURITIES CORPORATION              | 2,230     |
| QUALITY INVESTMENTS & SECURITIES CORPORATION      | 69,518    |
| R & L INVESTMENTS, INC.                           | 8,597     |
| R. COYIUTO SECURITIES, INC.                       | 17,112    |
| REGINA CAPITAL DEVELOPMENT CORPORATION            | 62,288    |
| R. NUBLA SECURITIES, INC.                         | 11,991    |
| AAA SOUTHEAST EQUITIES, INCORPORATED              | 2,547     |
| R. S. LIM & CO., INC.                             | 10,306    |
| RTG & COMPANY, INC.                               | 22,913    |
| S.J. ROXAS & CO., INC.                            | 2,990     |
| FIDELITY SECURITIES, INC.                         | 36,235    |
| SUMMIT SECURITIES, INC.                           | 18,931    |
| STANDARD SECURITIES CORPORATION                   | 8,518     |
| SUPREME STOCKBROKERS, INC                         | 441       |
| TANSENGCO & CO., INC.                             | 40,719    |
| THE FIRST RESOURCES MANAGEMENT & SECURITIES CORP. | 11,558    |
| TOWER SECURITIES, INC.                            | 2,363,289 |
| TRANS-ASIA SECURITIES, INC.                       | 600       |
| TRENDLINE SECURITIES CORPORATION                  | 6,090     |
| UCPB SECURITIES, INC.                             | 7,709     |
| E.SECURITIES, INC.                                | 631       |
| VENTURE SECURITIES, INC.                          | 16,494    |
| VICSAL SECURITIES & STOCK BROKERAGE, INC.         | 63        |
| FIRST METRO SECURITIES BROKERAGE CORP.            | 29,258    |
| WEALTH SECURITIES, INC.                           | 116,129   |
| WESTLINK GLOBAL EQUITIES, INC.                    | 17,570    |
| BERNAD SECURITIES, INC.                           | 3,155     |
| WONG SECURITIES CORPORATION                       | 1,262     |
| YAO & ZIALCITA, INC.                              | 3,870     |
| YU & COMPANY, INC.                                | 13,993    |

| <b>BPNAME</b>                            | <b>HOLDINGS</b>   |
|------------------------------------------|-------------------|
| BDO SECURITIES CORPORATION               | 22,325            |
| EAGLE EQUITIES, INC.                     | 4,794             |
| GOLDEN TOWER SECURITIES & HOLDINGS, INC. | 22,154            |
| SOLAR SECURITIES, INC.                   | 15,517            |
| G.D. TAN & COMPANY, INC.                 | 123,235           |
| PHILIPPINE EQUITY PARTNERS, INC.         | 50,517            |
| UNICAPITAL SECURITIES INC.               | 21,979            |
| ARMSTRONG SECURITIES, INC.               | 17,229            |
| TIMSON SECURITIES, INC.                  | 631               |
| CHINA BANKING CORPORATION - TRUST GROUP  | 16,555            |
| CITIBANK N.A.                            | 3,540,436         |
| DEUTSCHE BANK MANILA-CLIENTS A/C         | 56,885            |
| FILIPINO FUND, INC.                      | 1,264             |
| <b>Total</b>                             | <b>44,331,466</b> |

If no written notice of any error or correction is received by PDTC within five (5) calendar days from receipt hereof, you shall be deemed to have accepted the accuracy and completeness of the details indicated in this report.